

Trade Inst 10/2025-Billing

## 受理时间 Date of Application

**特别提示:** 1. 请在填表前仔细阅读拟购公募基金的《基金合同》、《招募说明书》、《基金产品资料概要》或《基金说明书》、《产品资料概要》、《信托契约》等相关法律文件、《开放式基金业务规则》或私募资产管理计划的《资产管理合同》、《计划说明书》、《风险提示书》, 以及《摩根基金管理(中国)有限公司基金投资人权益须知》、《摩根基金管理(中国)有限公司投资者风险承受能力评估分类说明》、《摩根基金管理(中国)有限公司用户隐私政策》和本表单后的各项条款。2. 请用黑色或蓝色钢笔或签字笔填写, 如有选择项, 请在○内划√, 任何涂改请加盖公章或签字证明。3. 投资人请在基金及私募资产管理计划购买过程中注意核对自身的风险承受能力与基金产品及私募资产管理计划风险的匹配情况。4. 本申请表(包括背面条款)以中文和英文书写。中文版本与英文版本如出现任何不一致或有冲突的, 则应以中文版本为准。

**Notification:** 1. Please read the relevant legal document of the "Fund Contract", "Prospectus", "Fund Key Facts Statement" or the "Fund Prospectus", "Product Key Facts Statement", "Trust Deed" of the purchased public fund; "Open-ended Fund Operation Rules"; or the private asset management plan's "Asset Management Contract", "Prospectus of Plan", "Risk Disclosure"; and "JPMAM China's Fund Investor Interests and Rights", "JPMAM China's Fund Product Risk Matching Principles", "JPMAM China's Privacy Policy" and each clause on the form's back carefully. 2. Please fill out the form in black or blue ink. If any item should be selected, please tick in "o". Any modification requires one of the reserved trading seals or signatures. 3. Please pay attention to check if your risk tolerance level matches with the risk of funds or private asset management plans to be purchased. 4. This Application Form (including the clauses on the back of this Application Form) is written in Chinese and English. If there is any inconsistency or conflict between Chinese version and English version, the Chinese version shall prevail for all purposes.

基金账户名称 Fund Account Name \_\_\_\_\_

基金账号 Fund Account No. \_\_\_\_\_

交易账号 Trading Account No. \_\_\_\_\_

 认购 / 申购 / 参与 Subscription / Purchase / Participation

币种 Currency:

 人民币 CNY (默认 Default) 美元 USD 其他 Other \_\_\_\_\_

1. 产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

金额 Amount \_\_\_\_\_

2. 产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

金额 Amount \_\_\_\_\_

3. 产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

金额 Amount \_\_\_\_\_

划入银行 Funds transferred to Bank \_\_\_\_\_

付款金额 Payment Amount \_\_\_\_\_

付款日期 Payment Date \_\_\_\_\_

年(year) \_\_\_\_\_ 月(month) \_\_\_\_\_ 日(day)

 赎回 / 退出 Redemption

1. 产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

数量 Quantity (份 shares) \_\_\_\_\_

2. 产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

数量 Quantity (份 shares) \_\_\_\_\_

3. 产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

数量 Quantity (份 shares) \_\_\_\_\_

如发生巨额赎回, 未成交部分是否继续参加下个交易日的赎回 In the event of huge redemptions, unsuccessful redemption applications to be carried forward to the next dealing day:

(不选择则默认为"是" "Yes" by default when the following field is left blank.)  是 Yes (default)  否 No

其他备注 Notes: \_\_\_\_\_

 基金转换 Conversion of Fund

转出 Convert from: 产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

转出份额 Converted Shares \_\_\_\_\_

转入 Convert to: 产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

 修改分红方式 Change of Dividend Payment Method

1. 产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

 再投资 Reinvestment 现金红利 Cash Dividend

2. 产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

 再投资 Reinvestment 现金红利 Cash Dividend 转托管 Transfer Holdings of Fund Units to Another Sales Agency: 托管转出 Transfer Out 托管转入 Transfer In

产品名称 Product Name \_\_\_\_\_

产品代码 Product Code \_\_\_\_\_

数量 Quantity (份 shares) \_\_\_\_\_

对方销售商 Counterparty Sales Agency \_\_\_\_\_

转出申请编号 Transfer Out Application No. \_\_\_\_\_

 撤单 Order Withdrawal

业务类型 Transaction Type: \_\_\_\_\_

业务明细 Transaction Details: \_\_\_\_\_

交易目的 Trading Purpose:  投资 Investment (默认 default)  其他 Other \_\_\_\_\_交易性质 Trading Nature:  投资 Investment (默认 default)  其他 Other \_\_\_\_\_

## 投资者声明与签署 Investor Statement and Signing:

1. 本单位确认已仔细阅读摩根基金管理(中国)有限公司所管理或代理销售的公募基金的《基金合同》、《招募说明书》、《基金产品资料概要》或《基金说明书》、《产品资料概要》、《信托契约》等相关法律文件、《开放式基金业务规则》或私募资产管理计划的《资产管理合同》、《计划说明书》、《风险提示书》、《摩根基金管理(中国)有限公司用户隐私政策》以及本表格所有(包括背面条款), 并接受上述文件中载明的所有法律条款。2. 本单位保证资金来源的合法性, 并保证所提交文件、信息的真实性、有效性、准确性、完整性, 且来源合法。如涉及个人信息来源为第三方个人, 本单位也已获得其关于处理个人信息的充分授权同意。本单位已提醒该第三方事先阅读并确保该第三方知悉《摩根基金管理(中国)有限公司用户隐私政策》, 并已特别告知该第三方贵司将如何根据规定处理其个人信息。如本单位提供的文件、信息发生任何重大变化, 将及时书面通知贵司。并且, 如因本单位未尽到上述职责导致贵司产生任何责任的, 本单位将补偿贵司的一切损失。3. 本单位投资基金(发起式基金除外)时, 不通过一致行动安排突破"单一投资者持有单只基金份额不超过基金总份额的50%"的监管规定。

1. We (the institution) acknowledge that we have read through carefully the relevant legal documents of "Fund Contract", "Prospectus", "Fund Key Facts Statement", or the "Fund Prospectus", "Product Key Facts Statement", "Trust Deed" of the public fund managed or distributed by JPMorgan Asset Management (China) Company Limited (JPMAM China); "Open-ended Fund Operation Rules"; or the private asset management plan's "Asset Management Contract", "Prospectus of Plan", "Risk Disclosure"; "JPMAM China's Privacy Policy" and all the information contained in this form (including clauses on the back). We abide by all the legal terms and conditions explicitly set out in the said documents and information. 2. We guarantee the legality of the funding sources and the authenticity, validity, correctness, completeness of the documents and information we have submitted, and the legality of all sources. For the personal information provided, we've already got the fully authorization and permission from such individual to process the personal information. We've reminded such individual to read and ensure that such individual understands the "JPMAM China's Privacy Policy" in advance, and we've informed such individual specially how JPMAM China will process his/her personal information according to the regulations. We will inform your company in writing if any significant change happens to the information we provided. In addition, if we fail to fulfill the above responsibilities, which results in any liability of JPMAM China, we will compensate all losses of JPMAM China. 3. We guarantee that we shall not breach the regulatory requirement of "The holding shares of a single fund by a single investor should not exceed 50% of the total fund shares" through Person Acting In Concert arrangement when investing in funds (except for initiating fund).

## 机构投资者预留印鉴 Reserved Seals of Institutional Investor

## 机构特别声明

## Special statement from the institution:

本机构知晓和认可贵司对本机构风险承受能力的评估结果, 评估日至影响本机构风险承受能力的因素未发生变化, 若有变化本机构将及时通知贵司并重新接受问卷调查。若本机构所认购/申购/转入的基金及参与的私募资产管理计划的风险超越本机构的风险承受能力, 风险由本机构自担。We (the institution) understand and recognize the results of your company's risk tolerance assessment. The factors affecting our risk tolerance have not changed since last assessment. If there are changes, we will promptly notify your company and conduct the re-assessment. If the risk of the fund subscribed/purchased/switched into by us or the private asset management plan we participated exceeds our risk tolerance, the resulting risk shall be borne by our own.

签署日期 Signing Date \_\_\_\_\_ 年(Year) \_\_\_\_\_ 月(Month) \_\_\_\_\_ 日(Day)

销售单位填写 By Sales Agency

经办人 Handler \_\_\_\_\_ 留痕方式 Record Method:  录音录像 Video  录音 Recording

(电话 Tel \_\_\_\_\_) 留痕时间 Time of Record \_\_\_\_\_

登记单位填写 By Transfer Agent

录入员 Data Entry \_\_\_\_\_

复核员 Reviewer \_\_\_\_\_

#### 风险提示

1. 投资有风险，在进行投资前应参阅相关产品的法律文件及销售文件。基金管理人以往的经营业绩，不代表基金及私募资产管理计划的未来业绩，基金管理人承诺以诚实信用、勤勉尽责的原则管理和运用基金及私募资产管理计划资产，但不保证基金或私募资产管理计划一定盈利，也不保证最低收益。
2. 本申请表仅为投资者业务申请之用，相关业务的最最终确认方为注册登记人摩根基金管理（中国）有限公司（以下简称“本公司”）（互认基金业务的最最终确认方为注册登记人中国证券登记结算有限责任公司）。投资者可于交易确认日至我司官网登录账户后查询或下载《交易确认书》。

#### 重要提示

1. 投资者投资于本公司管理或代理销售的公募基金及私募资产管理计划，必须开立本公司的基金账户。
2. 投资者应保证其依法有权投资本公司所管理或代理销售的公募基金及私募资产管理计划，并且其用于投资本公司所管理或代理销售的公募基金及私募资产管理计划的资金来源合法，不存在任何瑕疵，否则由此引起的一切责任由投资人自行承担。
3. 投资人开立基金账户时须留存印鉴，本公司或代理销售机构对该印鉴仅作表面真实性审查。
4. 投资人必须妥善保管密码，不应将密码告知任何第三人（包括自己的亲属），并应定期更换密码。本公司或代理销售机构对任何交易申请仅作表面真实性审查。一切使用密码进行的行为均视为投资者本人所为，由此造成的后果由投资人自行承担。
5. 投资人应保证所填写的信息真实、准确有效，如有变化，投资人应及时前往本公司或者代理销售机构变更有关资料。因投资人未能及时变更有关资料所可能导致的损失由投资人自行承担。
6. 由于战争、自然灾害、罢工、通信技术故障或其他不可预见的意外情况导致损失的风险由投资人自行承担。

#### 传真交易条款

1. 本条款所表述的“传真交易”是指本公司通过电信网，以传真的形式受理本公司所管理或代理销售的公募基金及私募资产管理计划交易申请的一种服务方式。
2. “交易业务申请表”传真件及本公司要求的相关资料的传真件作为交易申请凭证，本公司收到投资人符合本条款规定的传真件则有权认为该传真件是投资人真实意愿的表示，均视为投资人本人亲自办理该业务。
3. 传真交易的范围包括本公司所管理或代理销售的公募基金的认购、申购、赎回、转换、转托管、变更分红方式及私募资产管理计划的参与、退出等业务。
4. 投资人在进行传真交易时，须将交易业务申请表及本公司要求的相关资料传真至本公司所指定的传真设备（8621-68881190），并于当日下午3点前与客户经理确认传真收到。在传真设备发生故障的情况下，投资者须将交易业务申请表及本公司要求的相关资料的扫描件通过电子邮件方式发送至本公司的指定邮箱，并于下午3点前致电客户经理确认邮件收到，事后将交易申请传真至本公司。
5. 投资人需传真的资料包括：交易业务申请表（填写完整准确，并不得涂改）及本公司要求的其他资料。
6. 投资人确认包含本条款规定内容的传真件与其原件有同等效力，本公司可以充分信赖该传真件而受理传真交易。如果该传真件上一个或多个签名（或盖章）是伪造或未经授权的，或任何表述是伪造的或未经授权的，只要本公司诚信行事并相信传真件的表述和签名（或盖章）是真实的或经授权的，则投资人应当承认该传真交易对自身的约束力，承受由此造成的损失且补偿本公司由此受到的损失。
7. 对传真件模糊、字迹不清的，投资人应按本公司要求重新传真，否则本公司有权不予受理该申请。
8. 投资人应在规定的业务办理时间内通过传真递交交易申请文件，交易申请文件的收到时间以传真到达指定的传真设备为准。在传真设备发生故障的情况下，交易申请文件的收到时间以邮件到达第4条中所指定的邮箱为准。
9. 投资人应在通过传真递交交易申请文件后的二十分钟内与本公司进行确认。在上述时间里没有完成确认的，或确认的内容与传真的资料有任何不一致或不完整的，本公司有权不予受理该笔传真交易。
10. 投资人应向本公司书面指定其联系电话，机构投资者还应指定经办人。本公司可能为确认传真件的真实性与投资人或其指定的经办人电话联系。如无法通过电话确认传真件的真实性，本公司有权不予受理该笔传真交易。但该电话确认并不构成本公司的义务，也不应削弱投资人根据本条款对传真件效力的保证义务。
11. 本公司可能对电话确认内容进行录音，录音内容作为日后核查的依据，并且投资人特此同意本公司对电话语音的录制，认可该电话记录是双方交易真实有效的证据，在任何司法程序中不对该电话记录（除伪造、剪辑、拼接、模糊难于辨认等存有疑点的电话记录外）提出任何形式的质疑。
12. 出现下列情形之一的，本公司对投资人可能产生的损失不承担责任：（1）本公司因传真设备遇不可抗力发生故障或其他不可抗力导致无法受理传真交易申请的；（2）由于电信网等原因，传真交易申请出现中断、停顿、延迟、数据错误等情况的；（3）印鉴被伪造，电子验印或人工验印对传真件无法识别的；（4）投资人对传真交易程序产生误解的；（5）投资人的传真设备与传真交易系统不相匹配，无法下达申请或申请失败；（6）投资人因操作不当造成申请失败或申请失误；（7）法律、法规限制传真交易进行的；（8）其他妨碍基金管理人真实、完整地受理传真交易申请的情形。

投资人意识到上述风险可能会导致投资人发生损失，并且表明对上述损失不向本公司追索。

#### 公开募集证券投资基金风险揭示书

尊敬的投资者：

投资有风险，投资需谨慎。公开募集证券投资基金（以下简称“基金”）是一种长期投资工具，其主要功能是分散投资，降低投资单一证券所带来的个别风险。基金不同于银行储蓄等能够提供固定收益预期的金融工具，当您购买基金产品时，既可能持有份额分享基金投资所产生的收益，也可能承担基金投资所带来的损失。

您在做出投资决策之前，请仔细阅读基金合同、基金招募说明书和基金产品资料概要等产品法律文件和本风险揭示书，充分认识基金的风险收益特征和产品特性，认真考虑基金存在的各项风险因素，并根据自身的投资目的、投资期限、投资经验、资产状况等因素充分考虑自身的风险承受能力，在了解产品情况及销售适当性意见的基础上，理性判断并谨慎做出投资决策。

根据有关法律法规，本公司做出如下风险揭示：

- 一、依据投资对象的不同，基金分为股票基金、混合基金、债券基金、货币市场基金、基金中基金、商品基金等不同类型的基金，您投资不同类型的基金将获得不同的收益预期，也将承担不同程度的风险。一般来说，基金的收益预期越高，您承担的风险也越大。
- 二、基金在投资运作过程中可能面临各种风险，既包括市场风险，也包括基金自身的管理风险、技术风险和合规风险等。巨额赎回风险是开放式基金所特有的一种风险，即当单个开放日基金的净赎回申请超过基金总份额的一定比例（开放式基金为百分之十，定期开放基金为百分之二十，中国证监会规定的特殊产品除外）时，您将可能无法及时赎回申请的全部基金份额，或您赎回的款项可能延缓支付。
- 三、您应当充分了解基金定期定额投资和零存整取等储蓄方式的区别。定期定额投资是引导投资者进行长期投资、平均投资成本的一种简单易行的投资方式，但并不能规避基金投资所固有的风险，不能保证投资者获得收益，也不是替代储蓄的等效理财方式。
- 四、特殊类型产品风险揭示：
  1. 如果您购买的产品为养老目标基金，产品“养老”的名称不代表收益保障或其他任何形式的收益承诺，产品不保本，可能发生亏损。请您仔细阅读专门风险揭示书，确认了解产品特征。
  2. 如果您购买的产品为货币市场基金，购买货币市场基金并不等于将资金作为存款存放在银行或者存款类金融机构，基金管理人也不保证基金一定盈利，也不保证最低收益。
  3. 如果您购买的产品为避险策略基金，避险策略基金引入保障机制并不必然确保您投资本金的安全，基金份额持有人在极端情况下仍然存在本金损失的风险。
  4. 如果您购买的产品投资于境外证券，除了需要承担与境内证券投资基金类似的市场波动风险等一般投资风险之外，还面临汇率风险等境外证券市场投资所面临的特别投资风险。
  5. 如果您购买的产品以定期开放方式运作或者基金合同约定了基金份额最短持有期限，在封闭期或者最短持有期限内，您将面临因不能赎回或卖出基金份额而出现的流动性约束。基金详情、投资基金可能面临的风险及基金风险收益特征，请详细阅读基金招募说明书和基金产品资料概要等产品法律文件。
- 五、基金管理人承诺以诚实信用、勤勉尽责的原则管理和运用基金资产，但不保证基金一定盈利，也不保证最低收益。基金的过往业绩及其净值高低并不预示其未来业绩表现，基金管理人管理的其他基金的业绩并不构成对基金业绩表现的保证。本公司提醒您基金投资的“买者自负”原则，在做出投资决策后，基金运营状况与基金净值变化引致的投资风险，由您自行承担。基金管理人、基金托管人、基金销售机构及相关机构不对基金投资收益做出任何承诺或保证。
- 六、基金由基金管理人依照有关法律法规及约定申请募集，并经中国证监会（以下简称“中国证监会”）许可注册。本公司管理基金的基金合同、基金招募说明书和基金产品资料概要已通过中国证监会基金电子披露网站（<http://eid.csrc.gov.cn/fund/>）和本公司网站（<https://am.jpmorgan.com/cn>）进行了公开披露。中国证监会对基金的注册，并不表明其对基金的投资价值、市场前景和收益作出实质性判断或保证，也不表明投资于基金没有风险。

#### 摩根基金管理（中国）有限公司

上海市浦东新区银城中路501号上海中心大厦42-43层 邮编：200120 电话：(8621) 2062 8000

关于摩根基金管理（中国）有限公司的更详细信息，请登陆我司官网 <https://am.jpmorgan.com/cn>，或请致电服务热线：400 889 4888 进行查询。

**Risk Disclosure:**

1. There are risks in investing. You should read the legal documents and sales documents of relevant products before investing. Past performance of the fund manager does not represent future performance of the funds. The fund manager is committed to manage and operate the assets of the funds and private asset management plans with the principles of honesty, integrity and due diligence, but does not guarantee profitability or minimum returns.
2. This Transaction Application Form is only for business application by the investor. The party to eventually confirm the relevant business is the Transfer Agent-JPMorgan Asset Management (China) Company Limited ("JPMAM China", "we", "us" or "our")(For Mutual Recognition Funds, the Transfer Agent is China Securities Depository and Clearing Co., Ltd). The investor can log in the account through our Official Website to download the "Transaction Confirmation" document on the day of the trade confirmation.

**Important Notice:**

1. Investors investing in public funds or private asset management plan managed or distributed by us, must open a fund account with JPMAM China.
2. Investors must guarantee that they are legally eligible to invest in public funds and private asset management plan managed or distributed by us, and the source of funding used in investing in public funds and private asset management plan managed or distributed by us is legal and without flaw, otherwise the investor is responsible for all consequences arisen therefrom.
3. At the time of opening the fund account, investors must provide reserved seal specimen. We or the sales agency only conducts a superficial verification of the authenticity of the seals.
4. Investors must keep the password safe and shall not reveal the password to any third party (including investors' own relatives), and change it regularly. We or the sales agency only conducts a superficial verification of the authenticity of trade application. All actions taken by using of the password are considered as being done by the investors themselves and the investors are responsible for all consequences arisen therefrom.
5. Investors must guarantee the information filled out is true, accurate and valid. In case of any change, the investors shall promptly update the relevant information with us or the sales agency. Any possible losses caused by the investors not updating the relevant information in time should be borne by the investors themselves.
6. Any loss caused by wars, natural disasters, strikes, failures of telecommunication, or other unpredictable accidents shall be borne by the investors themselves.

**Fax trading provisions**

1. "Fax Trading" mentioned in these provisions refers to the service of accepting transaction applications of public funds managed or distributed by us and private asset management plans submitted by sending fax via the telecommunication network.
2. The faxed "Transaction Application Form" and other documents required by us are the proofs of trading application. Receipt of the faxed copy of documents stipulated in this provision by us should be considered as a genuine expression of the investor's intentions, and the application should be regarded as being applied by the investor in person.
3. The scope of fax trading includes subscription, purchase, redemption, conversion, transfer holdings of fund units to another sales agency, change of dividend payment method of the public funds, and the participation/redemption of private asset management plans, and etc.
4. Investors should fax the Transaction Application Form and all the relevant materials required by us to our designated trading fax line (8621-68881190) and call to confirm with the client manager before 3 p.m. of the application day. In case of facsimile break down, investors should send an email with the scanned Transaction Application Form and other documents required by us to our designated email address, followed by a call to confirm the receipt of such email with the client manager before 3 p.m. of the day. Afterwards, investors should fax the materials of the transaction application to us.
5. Materials that investors should fax include: the Transaction Application Form (neatly and accurately filled-out without alterations) and other attachments required by us.
6. Investors acknowledge that the faxed documents stipulated in this provisions have the same effect as the originals. We may fully rely on the faxed documents in accepting the fax trading requests. If one or more signatures (or seals) on the faxed documents are forged or unauthorized, or any statement is forged or unauthorized, as long as we act with honesty and believe the authenticity and empowerment of statements and signatures (or/and seals), investor should acknowledge that this fax trading is binding upon itself and is liable for all losses arising therefrom and agrees to compensate JPMAM China for any losses arising therefrom.
7. If the faxed document is blurry and the writings are not recognizable, the Investor shall fax it again upon our request, otherwise we have the right not to accept the application.
8. Investors shall fax the transaction application documents during the specified business hours. The receipt time of the application is based on the time that the fax reaches the specific fax machine. Under circumstances that the fax machine is down, the receipt time of the application is based on the time that email reaches the mail box referred in clause 4 under this provisions.
9. Investors shall confirm details of the application with us within 20 minutes after the fax of trading application is submitted. If the confirmation is not completed within the above timeframe or the confirmed details are inconsistent or incomplete compared with the faxed information, we have the right not to accept the fax application.
10. Investors must advise us of their contact phone number(s). Institutional investors shall also specify the authorized representative(s). We may contact the investor or its authorized representative by phone to confirm the authenticity of the faxed documents. If the authenticity of the faxed documents cannot be verified by phone, we have the right not to accept the faxed transaction application. The telephone confirmation does not constitute an obligation by us, nor will it weaken the obligation of the investor to guarantee the effectiveness of the faxed application.
11. We may record the conversation of the telephone confirmation. The recording will form the basis for future verification. Investors hereby agree to our telephone voice recording, and acknowledge the telephone recording is an authentic and effective proof of the trading between the two parties, and agree not to raise any challenge in any form for the telephone recording (except for any telephone recording that are suspected to be forged, altered, tampered with, obscured or otherwise unreliable) in any future legal proceedings.
12. In the event of any of the following circumstance, we are not responsible for any possible losses incurred by investors:
  - (1) We are unable to accept fax trading applications due to fax equipment failure or other force majeure events.
  - (2) Because of telecommunication network problems, the fax trading application is interrupted, stopped, delayed, erroneous or other similar circumstances.
  - (3) The seal/signature is forged and the electronic or manual seal/signature verification cannot authenticate the faxed documents.
  - (4) Investors have a misunderstanding about our fax trading procedures.
  - (5) Investors' fax equipment is not compatible with our fax trading system causing non-delivery of application or application failure.
  - (6) Failed or erroneous application due to improper operation of the investors.
  - (7) Fax trading is restricted by the laws or regulations.
  - (8) Other circumstances that prevent or impede the fund manager's ability to truthfully and completely accept the fax trading application.

Investors understand that the above risks may cause losses to the investors and hereby declare not to claim such losses from JPMAM China.

#### Publicly Offered Securities Investment Fund Risk Disclosure Statement

Dear Investors,

Investors should be fully aware of investment risks, and make prudent investment decisions accordingly. Publicly Offered Securities Investment Fund (hereinafter referred to as the "Fund") is a long-term investment tool. Its main function is to diversify the investment to reduce the risks of investing in a single security. Unlike financial tools that provide fixed return expectations such as bank savings, fund investors will not only enjoy the possible returns generated by fund investments according to units they hold, but will also share the possible losses brought by fund investments.

Before making investment decisions, please carefully read product legal documents, such as "fund contract", "prospectus", "Key Facts Statement" and this risk disclosure letter. You should fully understand the risk-return characteristics and product characteristics of the fund, carefully consider various risk factors existed in the fund, and fully consider your risk tolerance according to investment purposes, investment period, investment experience, asset status and other factors. On the basis of understanding product information and sales suitability opinions, investors should make rational judgments and prudent investment decisions.

According to relevant laws and regulations, JPMAM China makes the following risk disclosures:

- i. Funds are classified as equity funds, hybrid funds, bond funds and money market funds, fund of funds, commodity funds, etc. Investors investing in different categories of funds will have different expected return, but will also take different levels of risks. Generally speaking, the higher the expected return will be, the higher the risk you might have to take.
- ii. The fund may face up with various risks in the process of investment operation, including market risk, management risk, technical risk and compliance risk of the fund itself. Huge redemption risk is the risk unique to open-ended funds, that is, when the net redemption application on a single open-day exceeds a certain percentage of the total fund shares (10% for open-ended funds and 20% for regular open-ended funds, except for special products stipulated by CSRC), you may not be able to redeem all the fund units you applied for in time, or the payment of your redemption might be delayed.
- iii. Investors shall fully understand the differences between fixed-time and fixed-amount fund investment and savings methods such as time deposit of small savings for lump-sum withdrawal. Fixed-time and fixed-amount investment is a simple and practical investment style for long-term, cost-averaging investment. However, fixed-time and fixed-amount investment cannot avoid the intrinsic risks of fund investments. Furthermore, it cannot guarantee returns for investors and is not an equivalent financial management substitute for bank savings.
- iv. Risk disclosure of special types of products:
  - a) If the product you purchased is a pension target fund, the name of the product "pension" does not represent returns guarantee or any other forms of return commitment. The product is not principal guaranteed, and losses may occur. Please read the special risk disclosure documents carefully to understand the product features.
  - b) If the product you purchased is a money market fund, purchasing money market fund does not mean depositing the funds in a bank or a depository financial institution as a deposit. The fund manager does not guarantee that the fund will be profitable, nor does it guarantee a minimum return.
  - c) If the product you purchased is a hedging strategy fund, the protection mechanism in the hedging strategy fund does not necessarily ensure the safety of your investment principal, and fund shareholders still have the risk of principal loss in extreme cases.
  - d) If products you purchased are invested in overseas securities, you will face up with not only the general investment risks such as market volatility risks similar to domestic securities investment funds, but also special investment risks such as exchange rate risks which are brought by investment in overseas securities markets.
  - e) If the product you purchased operates in a regular open manner or the fund contract stipulates the minimum holding period of fund shares, during the closed period or the shortest holding period, you will face up with liquidity constraints due to the inability to redeem or sell fund shares.  
For detailed fund information, the risks that the investment fund may face up with, and the characteristics of the risk and return of the fund, please carefully read the fund prospectus, the fund Key Facts Statement document, and the other product's legal documents.
- v. Fund manager promises to use the principles of honesty, integrity and due diligence to manage and operate fund assets, but fund manager does not guarantee profitability or minimum returns. Past performance of the fund and its net asset value do not indicate future performance, and performance of other funds managed by same fund manager does not form any guarantee of this fund's performance. Fund manager reminds investors of the "purchaser responsible" principle. After investment decisions are made, investors should take the responsibility of investment risks arising from fund operation status and fluctuations of net asset value. Fund managers, fund custodians, fund sales agencies and other related agencies do not make any commitments or guarantees for fund investment returns.
- vi. Funds are initiated by fund manager according to relevant laws, regulations and agreements, and are approved for registration by China Securities Regulatory Commission ("CSRC"). Fund contract, Prospectus and Key Facts Statement of the public funds managed by us have been disclosed on the website of CSRC Fund Electronic Information Disclosure [<http://eid.csrc.gov.cn/fund/>] and the website of JPMAM China [<https://am.jpmorgan.com/cn>]. Fund registration with CSRC does not indicate that CSRC has made substantive judgments or guarantees on the investment value, market prospects and returns of funds, nor does this indicate that investing in funds is risk-free.

#### JPMorgan Asset Management (China) Company Limited

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For more detailed information about JPMAM China, please visit our Official Website: <https://am.jpmorgan.com/cn>, or dial our Service Hotline: 4008894888.